Attorney's Docket No. 38190/23964



PATENT

IN THE UNITED STATES PAPENT AND TRADEMARK OFFICE

In re:

Thomas L. Weaver et al.

Appl. No.:

09/975,168

Confirmation No.: 9101

Filed:

October 11, 2001

Group Art No.: 2633

For:

CLOSED-LOOP OPTICAL NETWORK SYSTEM AND AN ASSOCIATED TRANSCEIVER AND

METHOD FOR TRANSMITTING

A PLURALITY OF OPTICAL SIGNALS

Box Missing Parts Commissioner for Patents Washington, DC 20231 January 7, 2002

INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

Andrew T. Sperice / Registration No. 45,699

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Sarah B. Simmons

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Sheet	1	of	2 'A	ttorney Docket Number	38190/239642		
				PATENT DOCUMENTS			
Examiner	Cite	U.S. Patent Document Kind Cod		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lir Where Relevant Passa	
Initials*	No.1		f known)	Of Cited Document	Cited Document	Relevant Figures Ap	-
		,			MM-DD-YYYY		
	1	5,278,687		Jannson et al.	01-11-1994		
	2	5,880,864		Williams et al.	03-09-1999		
	3	US 2001/0004290	A1	Lee et al.	06-21-2001		
	4	6,195,485	B1	Coldren et al.	02-27-2001		
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Examiner Initials	Cite No.		Kind Code known) ⁵	Name of Patentee or Applicant of Cited Document	Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶
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Unique citation designation number.
 See attached Kinds of U.S. Patent Documents.
 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Applicant is to place an "X" here if English language Translation is attached.

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DISCLOSURE STATEMENT BY APPERANT			CANT	First Named Inventor	Thomas L. Weaver et al.	
				Group Art Unit	2633	
(Use as many sheets as necessary)			y)	Examiner Name	To be assigned	
Sheet	2	of	2	Attorney Docket Number	38190/239642	

NON PATENT LITERATURE DOCUMENTS

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	
Examiner	Cite	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,	T
Initials	No.	city and/or country where published.	
	5	KRISHNAN SUNDARESAN; IP over DWDM: Principles and Practices; Pages 1 – 7 http://www.acsu.buffalo.edu/~ks48/ipoverdwdm.pdf	
	6	Lensed Wavelength Division Multiplexers Singlemode and Multimode; AMP Brand; Pages 1 – 3; available at http://catalog.tycoelectronics.com/TE/bin/TE.Menu?M=Main (visited 10/03/2001).	
***************************************	7	Wavelength Division Mux/Demux Model 9980; Pages 1-2 http://www.optelecom.com/Products/productaccessories/9980.pdf .	
	8	WAVESPLITTER™ TECHNOLOGIES, INC.; WaveSelector™ Single Channel Add/Drop Filter; (revision Mar. 2001) available at http://www.wavesplitter.com .	

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